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**FROMMER LAWRENCE & HAUG LLP**745 Fifth Avenue  
New York, New York 10151  
Telephone: (212) 588-0800  
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**Firm:** U.S. Patent and Trademark Office

**Facsimile No.:** 703-872-9306

**From:** Thomas F. Presson

**Date:** April 28, 2005

**Re:** U.S. Patent Application Serial No. 09/807,114  
Our Ref.: 450106-02645

**No. of Pages:** 23  
(including cover page)

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PATENT  
450106-02645

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Tetsujiro Kondo, et al.  
Serial No. : 09/807,114  
Filed : June 15, 2001  
For : TRANSMITTING APPARATUS AND METHOD, RECEIVING APPARATUS AND METHOD, TRANSMITTING AND RECEIVING APPARATUS AND METHOD, RECORD MEDIUM AND SIGNAL (AS AMENDED)  
Examiner : Nguyen, Kimnhung T.  
Art Unit : 2674

745 Fifth Avenue  
New York, NY 10151  
Tel: 212-588-0800

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below.  
☐ This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

**Claims as Amended**

(1)	(2) Claims remaining after amendment	(3)	(4) Highest number previously paid for	(5) Present extra	(6) Rate	(7) Additional Fee
Total claims	41	Minus	** = 77	* 0 x	\$18 (9)	= \$ 0
Independent claims	17	Minus	*** = 18	* 0 x	\$0	= \$ 0
Total additional fee for this amendment						= \$ 0

- \* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.  
\*\* If the highest number of total claims previously paid for is less than 20, write "20" in this space.  
\*\*\* If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

- ☐ This application contains a multiple dependent claim. The required fee of \$300(150) has been previously paid ☐, or is paid herewith ☐.
- ☐ This response is being filed within the \_\_\_\_\_ month following the expiration of the term originally set therefor. This is a petition to request a \_\_\_\_\_ month extension of time. A check covering the cost of the petition is enclosed.
- ☐ A check in the amount of \$0\_\_\_\_\_ is attached, which covers the cost of additional claims \_\_\_\_\_ petition for extension of time.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 50-0320.
- ☒ Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320.

I hereby certify that this correspondence is being transmitted via facsimile No. 703-872-9306 to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 28, 2005

Thomas F. Presson, Reg. No. 41,442

Name of Applicant, Assignee or Registered Representative

*Thomas F. Presson*  
Signature

April 28, 2005

Date of Signature

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: *Thomas F. Presson*  
Thomas F. Presson  
Reg. No. 42,442  
Tel: 212-588-0800

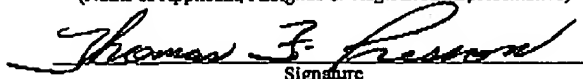
**BEST AVAILABLE COPY**PATENT  
450106-02645**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Tetsujiro Kondo, et al. Notice of Allowance: 3/29/05  
Serial No. : 09/807,114 Confirmation no. 2203  
Filed : June 15, 2001  
For : TRANSMITTING APPARATUS AND METHOD,  
RECEIVING APPARATUS AND METHOD,  
TRANSMITTING AND RECEIVING APPARATUS AND  
METHOD, RECORD MEDIUM AND SIGNAL (AS  
AMENDED)  
Examiner : Nguyen, Kimnhung T.  
Art Unit : 2674  
Confirmation No. : 2203

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April 28, 2005

Thomas F. Presson, Reg. No. 41,442  
(Name of Applicant, Assignee or Registered Representative)

  
Signature

April 28, 2005  
Date of Signature

**AMENDMENT UNDER 37 CFR §1.312**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Following the mailing of the Notice of Allowance of the above-identified  
application on March 29, 2005, please amend the application as follows: